

Internal IMS Audit Report

Thurrock Engineering Supplies Ltd · Integrated Management System (ISO 9001 · 14001 · 45001)

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| Audit ref | IA-2026-01 |
| Audit date | 30 June 2026 |
| Auditor | Tom Hall (IMS owner) |
| Audit scope | Full IMS — all clauses (ISO 9001, 14001, 45001) |
| Audit method | Documentary review of the IMS portal and supporting records, conducted per ISO 19011 guidance |
| Next audit due | June 2027 (annual) |

1. Objective & independence

To verify that the TES Integrated Management System is established, documented, implemented and effective against the requirements of ISO 9001, ISO 14001 and ISO 45001 ahead of the external certification audit. As a small organisation, this audit was carried out by the IMS owner; where practical TES will use an independent or peer reviewer for future audits to strengthen objectivity.

2. Conformity summary by clause

| Clause | Area | Result | Notes |
|--------|--|-------------|--|
| 4 | Context, interested parties, scope, processes | Conforms | Documented; interested parties confirmed. |
| 5 | Leadership, policy, roles | Conforms | Policy & leadership commitment endorsed by directors 02/04/2026. |
| 6 | Risks & opportunities, objectives | Observation | Risk register and objectives in place; baselines/targets to be confirmed. |
| 7 | Resources, competence, awareness, communication, documented info | Conforms | Resources, training matrix, awareness & communication documented. |
| 8 | Operational planning & control | Conforms | Systems, integrations and H&S/environmental controls documented. |
| 9 | Monitoring, internal audit, management review | Observation | This audit and the first management review now evidence clause 9; KPI baselines to follow. |
| 10 | Nonconformance & improvement | Conforms | Registers in place and now in active use (see findings). |

3. Findings & actions

| Ref | Type | Finding | Action required | Owner | Target |
|-----|-------------|---|---|----------|--------------|
| F1 | Minor NC | No premises Fire Risk Assessment in place (Regulatory Reform (Fire Safety) Order 2005). | Complete a premises Fire Risk Assessment. | Tom Hall | Before audit |
| F2 | Observation | COSHH assessments per substance not yet completed (LPG, cleaning products, oils/aerosols, adhesives). | Complete COSHH assessments; obtain safety data sheets. | Tom Hall | Before audit |
| F3 | Observation | DSEAR assessment for LPG forklift fuel outstanding. | Complete DSEAR assessment; provide secured cylinder cage. | Tom Hall | Before audit |
| F4 | Observation | Single trained first aider; only appointed-person cover during absence. | Train a second first aider. | Tom Hall | Q3 2026 |
| F5 | Observation | No PAT testing schedule in place. | Introduce and record PAT testing. | Tom Hall | Q3 2026 |
| F6 | Observation | Racking expert inspection and fire-marshal training scheduled but not yet completed. | Complete both (booked July 2026). | Tom Hall | July 2026 |

| Ref | Type | Finding | Action required | Owner | Target |
|-----|-------------|--|--|------------|---------|
| F7 | Observation | KPI baselines and objective targets to be confirmed. | Confirm KPI baselines and targets with management. | Management | Q3 2026 |

4. Conclusion

The IMS is well-structured, documented and largely conforms with all three standards. One minor nonconformity (premises Fire Risk Assessment) and several observations were identified, each with an assigned action and owner. None represent a major nonconformity. Subject to closure of the actions above, the IMS is considered ready for external certification audit. Findings have been logged in the Nonconformance Register (10.1) and reviewed at Management Review MR-2026-01.

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| Auditor: Tom Hall | Signature: _____ | Date: 30 June 2026 |
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